### Common Searches* for Tax Research

*For the Boolean Operators’ Table, please see the back page of this document.*

<table>
<thead>
<tr>
<th>QUERY</th>
<th>SEC FILING</th>
<th>SEARCH SYNTAX</th>
</tr>
</thead>
<tbody>
<tr>
<td>Audits</td>
<td>10-K</td>
<td>1. In the Advanced Dataset, in the Word Search Field type: (IRS or internal revenue) w/20 (audit! or examin!)&lt;br&gt;2. Select forms&lt;br&gt;3. Click submit</td>
</tr>
<tr>
<td></td>
<td>10-Q</td>
<td></td>
</tr>
<tr>
<td></td>
<td>8-K</td>
<td></td>
</tr>
<tr>
<td>Litigation</td>
<td>10-K</td>
<td>1. In the Advanced Dataset, type: (IRS or internal revenue or tax) w/5 (litigat! or controvers!)&lt;br&gt;2. Select forms&lt;br&gt;3. Click submit</td>
</tr>
<tr>
<td></td>
<td>10-Q</td>
<td></td>
</tr>
<tr>
<td></td>
<td>8-K</td>
<td></td>
</tr>
<tr>
<td>Consequences</td>
<td>10-K</td>
<td>In the Advanced Dataset, type in these keywords:&lt;br&gt;1. tax consequence w/50 keywords&lt;br&gt;2. Select forms&lt;br&gt;3. Click submit</td>
</tr>
<tr>
<td></td>
<td>10-Q</td>
<td></td>
</tr>
<tr>
<td></td>
<td>DEF14A</td>
<td></td>
</tr>
<tr>
<td>Accounting for Tax Issues</td>
<td>10-K</td>
<td>1. In the Advanced Dataset type: (FAS or FASB or SFAS) w/10 tax&lt;br&gt;OR&lt;br&gt;uncertainty w/5 income taxes&lt;br&gt;OR&lt;br&gt;(FAS or FASB or SFAS) w/10 #&lt;br&gt;OR&lt;br&gt;(FAS or FASB or SFAS) w/10 keywords&lt;br&gt;2. Select forms&lt;br&gt;3. Click submit&lt;br&gt;&lt;strong&gt;TIP:&lt;/strong&gt; # is the FAS Number like 48 or 109</td>
</tr>
<tr>
<td></td>
<td>10-Q</td>
<td></td>
</tr>
<tr>
<td>Accounting for Stock-Based Compensation</td>
<td>10-K</td>
<td>1. In the Advanced Dataset, type: FAS w/5 123 R&lt;br&gt;OR&lt;br&gt;Share based w/5 payment&lt;br&gt;2. Select forms&lt;br&gt;3. Click submit</td>
</tr>
<tr>
<td></td>
<td>10-Q</td>
<td></td>
</tr>
<tr>
<td>Disclosure of Mutual Fund After-Tax Returns</td>
<td>Mutual Funds</td>
<td>1. In the Mutual Funds dataset type: after-tax w/5 returns&lt;br&gt;2. Click submit</td>
</tr>
<tr>
<td>Company Effective Tax Rates</td>
<td>10-K</td>
<td>1. In the Advanced dataset enter the following search in the Word Search Field: effective tax w/2 rate!&lt;br&gt;2. Enter the company name or ticker&lt;br&gt;3. Click submit</td>
</tr>
<tr>
<td></td>
<td>10-Q</td>
<td></td>
</tr>
<tr>
<td>Credits</td>
<td>10-K</td>
<td>1. In the 10-K Dataset, type in these keywords: (tax or taxes) w/5 (state or local or international or credits)&lt;br&gt;2. Select Item 8 and Item 15</td>
</tr>
<tr>
<td>Internal Revenue Code Sections</td>
<td>All Filings</td>
<td>1. In the advanced dataset enter the following search in the Word Search Field: (IRS or internal revenue) w/5 #&lt;br&gt;2. Click submit&lt;br&gt;&lt;strong&gt;TIP:&lt;/strong&gt; # is the Code section</td>
</tr>
</tbody>
</table>
**Boolean Operators’ Table**

<table>
<thead>
<tr>
<th>10-K Syntax</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>PROXIMITY</strong></td>
<td>Doubt w/10 going concern or Doubt NEAR(10) going concern</td>
</tr>
<tr>
<td>Documents containing keywords or terms within the given (number of words) either preceding or following</td>
<td>NEAR(n) or w/n</td>
</tr>
<tr>
<td>Keywords within the same sentence</td>
<td>NEAR(n) or w/n</td>
</tr>
<tr>
<td>Keywords or phrase within the same paragraph</td>
<td>NEAR(n) or w/n</td>
</tr>
<tr>
<td>Personal Names</td>
<td>firstname NEAR(n) lastname</td>
</tr>
<tr>
<td><strong>SEQUENCE</strong></td>
<td>Substantial p/2 doubt or Substantial JOIN(2) doubt</td>
</tr>
<tr>
<td>One term must precede the other</td>
<td>JOIN(1)n or p/n</td>
</tr>
<tr>
<td>The first term precedes the second within the same sentence</td>
<td>JOIN(n) or p/n</td>
</tr>
<tr>
<td>The first term precedes the second within the same paragraph</td>
<td>JOIN(n) or p/n</td>
</tr>
<tr>
<td><strong>GROUPING: PARENTHESES</strong></td>
<td>Is different from: (red and blue) or green</td>
</tr>
<tr>
<td>Use grouping to execute a search in a specific manner.</td>
<td>( )</td>
</tr>
<tr>
<td><strong>QUOTES</strong></td>
<td>“AT&amp;T” or “13e-4(c)” or “Securities and Exchange Commission”</td>
</tr>
<tr>
<td>Use quotes when phrase contains a Boolean Operator (and, or, not) OR when a phrase contains symbols.</td>
<td>“”</td>
</tr>
<tr>
<td><strong>EXCLUSION (NOT)</strong></td>
<td>(William w/3 Gates) NOT Microsoft</td>
</tr>
<tr>
<td>Excludes filings containing the keyword after NOT</td>
<td>NOT or AND NOT</td>
</tr>
<tr>
<td><strong>OR</strong></td>
<td>Red or blue or white</td>
</tr>
<tr>
<td>Delivers all filings containing any of the keywords listed.</td>
<td>OR</td>
</tr>
<tr>
<td><strong>AND</strong></td>
<td>Hedge fund and portfolio manager</td>
</tr>
<tr>
<td>Delivers only filings containing all keywords listed.</td>
<td>AND</td>
</tr>
<tr>
<td><strong>STEMMING</strong></td>
<td>Manag! Manag*</td>
</tr>
<tr>
<td>Delivers variations of the root word.</td>
<td>*or!</td>
</tr>
<tr>
<td><strong>PLURAL TERMS</strong></td>
<td>Stock* or Stock!</td>
</tr>
<tr>
<td>asterisk * or exclamation point !</td>
<td></td>
</tr>
<tr>
<td><strong>AT LEAST</strong></td>
<td>Re-sort results by clicking on the Count column on the Search Results page.</td>
</tr>
<tr>
<td>Number of times the keyword appears in a filing.</td>
<td>No syntax required</td>
</tr>
<tr>
<td><strong>ABBREVIATIONS</strong></td>
<td>AARP or A.A.R.P.</td>
</tr>
<tr>
<td><strong>PUNCTUATION</strong></td>
<td></td>
</tr>
<tr>
<td>Period</td>
<td>Treated as a space</td>
</tr>
<tr>
<td>Comma</td>
<td>Treated as a space</td>
</tr>
<tr>
<td>Hyphen</td>
<td>Literal real-time</td>
</tr>
</tbody>
</table>

*NOTE: All search strategies on the 10-K Wizard service can be tweaked to fit the user's research needs.*