10k WIZARD
SEC POWER SEARCH

Common Searches* for Tax Research

For the Boolean Operators' Table, please see the back page of this document.

QUERY	SEC FILING	SEARCH SYNTAX
Audits	10-K 10-Q 8-K	1. In the Advanced Dataset, in the Word Search Field type: (IRS or internal revenue) w/20 (audit! or examin!) 2. Select forms 3. Click submit
Litigation	10-K 10-Q 8-K	1. In the Advanced Dataset, type: (IRS or internal revenue or tax) w/5 (litigat! or controvers!) 2. Select forms 3. Click submit
Consequences	10-K 10-Q DEF14A	In the Advanced Dataset, type in these keywords: 1. tax consequence! w/50 keywords 2. Select forms 3. Click submit
Accounting for Tax Issues	10-K 10-Q	1. In the Advanced Dataset type: (FAS or FASB or SFAS) w/10 tax **OR** uncertainty w/5 income taxes **OR** (FAS or FASB or SFAS) w/10 # OR (FAS or FASB or SFAS) w/10 keywords 2. Select forms 3. Click submit **TIP:** # is the FAS Number like 48 or 109
Accounting for Stock-Based Compensation	10-K 10-Q	1. In the Advanced Dataset, type: FAS w/5 123 R **OR** Share based w/5 payment 2. Select forms 3. Click submit
Disclosure of Mutual Fund After-Tax Returns	Mutual Funds	1. In the Mutual Funds dataset type: after-tax w/5 returns 2. Click submit
Company Effective Tax Rates	10-K 10-Q	1. In the advanced dataset enter the following search in the Word Search Field: effective tax w/2 rate! 2. Enter the company name or ticker 3. Click submit
Credits	10-K	1. In the 10-K Dataset, type in these keywords: (tax or taxes) w/5 (state or local or international or credits) 2. Select Item 8 and Item 15
Internal Revenue Code Sections	All Filings	1. In the advanced dataset enter the following search in the Word Search Field: (IRS or internal revenue) w/5 # 2. Click submit **TIP:** # is the Code section

BOOLEAN OPERATORS' TABLE

	10-K Syntax	Examples
	N=number of words	
PROXIMITY Documents containing keywords or terms within the given (number of words) either preceding or following	NEAR(n) or w/n	Doubt w/10 going concern or Doubt NEAR(10) going concern
Keywords within the same sentence	NEAR(n) or w/n	w/15 - for a sentence or NEAR(15) – for a sentence
Keywords or phrase within the same paragraph	NEAR(n) or w/n	w/50 for a paragraph or NEAR(50) for a paragraph
Personal Names	firstname NEAR(n) lastname	William NEAR(3) gates or William w/3 gates
SEQUENCE One term must precede the other	JOIN(n) or p/n	Substantial p/2 doubt Substantial JOIN(2) doubt
The first term precedes the second within the same sentence	JOIN(n) or p/n	p/15 for sentence or JOIN(15) for a sentence
The first term precedes the second within the same paragraph	JOIN(n) or p/n	p/50 for a paragraph or JOIN(50) for a paragraph
GROUPING: PARENTHESES Use grouping to execute a search in a specific manner.	( )	Red and (blue or green) Is different from: (red and blue) or green
QUOTES Use quotes when phrase contains a Boolean Operator (and, or, not) <u>OR</u> when a phrase contains symbols.	" "	"AT&T" or "13e-4(c)" or "Securities and Exchange Commission"
EXCLUSION (NOT) Excludes filings containing the keyword after NOT	NOT or AND NOT	(William w/3 Gates) NOT Microsoft
OR Delivers all filings containing **any** of the keywords listed.	OR	Red or blue or white
AND Delivers only filings containing **all** keywords listed.	AND	Hedge fund and portfolio manager
STEMMING Delivers variations of the root word.	* or !	Manag! Manag* Managers, management, managing, managed, manage
PLURAL TERMS	asterisk * or exclamation point !	Stock* or Stock!
AT LEAST Number of times the keyword appears in a filing.	No syntax required	Re-sort results by clicking on the Count column on the Search Results page.
ABBREVIATIONS	AARP or A.A.R.P.	
PUNCTUATION		
Period	Treated as a space	
Comma	Treated as a space	
Hyphen	Literal	real-time

*NOTE: All search strategies on the 10-K Wizard service can be tweaked to fit the user's research needs.