10k WIZARD
SEC POWER SEARCH

Common Searches* for Financial Research

For the Boolean Operators' Table, please see the back page of this document.

QUERY	SEC FILING	SEARCH SYNTAX
Cash Flow Statements	All Filings	1. In the Advanced Dataset, in the Word Search Field, type: cash flow statement! 2. Select all form groups **Tip:** By adding filters, this search can be narrowed by geography, industry, date, etc.
Restatement of Financials	10-K 10-Q 8-K	In the Advanced Dataset, in the Word Search Field, type: restat! w/15 financial! **TIP:** Narrow the search by adding various filters
Convertible Bonds	All Filings	In the Advanced Dataset, in the Word Search Field, type: Convertible bonds w/20 (matur! or redemption or redeemed or call or put)
Fairness Opinions	All Filings	In the Advanced Dataset, in the Word Search Field, type: (opinion! w/25 fair!) w/5 "financial point of view" **TIP:** Narrow the search by added various filters.
Senior Notes	All Filings	In the Advanced Dataset, in the Word Search Field, type: senior note! w/5 due date **Example:** senior note! w/5 due 2013
Delistings Search 1	10-K 10-Q 8-K Registration Statement	1. In the Advanced Dataset, in the Word Search Field, type: NASDAQ w/30 (delisting or de listing) 2. Select all forms
Delistings Search 2	8-K Items 3.01, 8.01, 9.01	1. In the 8-K Dataset, in the Word Search Field type: delist! or de list! 2. Select Items 3.01, 8.01, 9.01
Contingent Liabilities	10-K Item 8	1. In the 10-K Dataset, in the Word Search Field type: contingent w/5 liabilit! 2. Select Item 8
Indentures	All Exhibits	In the Exhibits Dataset, in the Title Search, type: indenture **Tip:** Narrow the search by adding various filters
Financial Notes	10-K Item 8	1. In the 10-K Dataset, in the Word Search Field, type: notes p/100 keyword search 2. Select Item 8 3. Submit Search **Tip:** Broaden your search by p/500

BOOLEAN OPERATORS' TABLE

	10-K Syntax	Examples
N=number of words		
PROXIMITY Documents containing keywords or terms within the given (number of words) either preceding or following	NEAR(n) or w/n	Doubt w/10 going concern or Doubt NEAR(10) going concern
Keywords within the same sentence	NEAR(n) or w/n	w/15 - for a sentence or NEAR(15) – for a sentence
Keywords or phrase within the same paragraph	NEAR(n) or w/n	w/50 for a paragraph or NEAR(50) for a paragraph
Personal Names	firstname NEAR(n) lastname	William NEAR(3) gates or William w/3 gates
SEQUENCE One term must precede the other	JOIN(n) or p/n	Substantial p/2 doubt Substantial JOIN(2) doubt
The first term precedes the second within the same sentence	JOIN(n) or p/n	p/15 for sentence or JOIN(15) for a sentence
The first term precedes the second within the same paragraph	JOIN(n) or p/n	p/50 for a paragraph or JOIN(50) for a paragraph
GROUPING: PARENTHESES Use grouping to execute a search in a specific manner.	( )	Red and (blue or green) Is different from: (red and blue) or green
QUOTES Use quotes when phrase contains a Boolean Operator (and, or, not) OR when a phrase contains symbols.	" "	"AT&T" or "13e-4(c)" or "Securities and Exchange Commission"
EXCLUSION (NOT) Excludes filings containing the keyword after NOT	NOT or AND NOT	(William w/3 Gates) NOT Microsoft
OR Delivers all filings containing **any** of the keywords listed.	OR	Red or blue or white
AND Delivers only filings containing **all** keywords listed.	AND	Hedge fund and portfolio manager
STEMMING Delivers variations of the root word.	* or !	Manag! Manag* Managers, management, managing, managed, manage
PLURAL TERMS	asterisk * or exclamation point !	Stock* or Stock!
AT LEAST Number of times the keyword appears in a filing.	No syntax required	Re-sort results by clicking on the Count column on the Search Results page.
ABBREVIATIONS	AARP or A.A.R.P.	
PUNCTUATION		
Period	Treated as a space	
Comma	Treated as a space	
Hyphen	Literal	real-time

*NOTE: All search strategies on the 10-K Wizard service can be tweaked to fit the user's research needs.